



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/044,765	01/09/2002	David P. Sonnier	SONNIER 4	8338
47394	7590	01/31/2008		
HITT GAINES, PC ALCATEL-LUCENT PO BOX 832570 RICHARDSON, TX 75083			EXAMINER MATTIS, JASON E	
			ART UNIT	PAPER NUMBER
			2616	
			NOTIFICATION DATE	DELIVERY MODE
			01/31/2008	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

doctet@hittgaines.com

Office Action Summary	Application No. 10/044,765	Applicant(s) SONNIER, DAVID P.	
	Examiner Jason E. Mattis	Art Unit 2616	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 11/19/07.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1,3-8,10-15 and 17-23 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☐ Claim(s) 1, 3-8, 10-15, and 17-23 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. This Office Action is in response to the Request for Continued Examination filed 11/19/07. New claims 21-23 have been added. Claims 1, 3-8, 10-15, and 17-23 are currently pending in the application.

Claim Rejections - 35 USC § 103

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 1, 3-8, 10-15, and 17-23 are rejected under 35 U.S.C. 103(a) as being unpatentable over Dell et al. (U.S. Publication US 2002/0085578 A1) in view of Lo et al. (U.S. Pat. 6667983 B1) and Lee (U.S. Pat. US 6963576 B1).

With respect to claims 1 and 8, Dell et al. discloses a method in a network system that employs packets having an associated priority (**See the abstract and page 8 paragraph 106 for reference to a network switch, which is a network system, that employs packets having an associated priority**). Dell et al. also discloses at least two inputs configured to receive packets and at least three packet first-in-first-out buffers (FIFOs) configured to receive packets from the inputs (**See page 3 paragraphs**

48-49, page 8 paragraph 107, and Figures 2 and 10 of Dell et al. for reference to crossbar device 206 having inputs connecting to ingress line cards 202 and for reference to a number of routing FIFO queues, which are FIFO buffers configured to receive cells, which are data packets, from the inputs). Dell et al. further discloses scheduling the packets for processing based on the priority of packets in the FIFOs such that packets in a packet FIFO that contain the highest priority are triggered to be processed before packets in other FIFOs (See page 8 paragraph 107 and page 10 paragraphs 145-153 of Dell et al. for reference to an arbiter receiving bids for packets to be output with the bids summarizing packet priority from packets of the FIFOs and for reference to arbitrating between packets based on priority such that packets in a FIFO that contain the highest priority are always processed before packets in other FIFOs). Although Dell et al. does disclose using packet priority to schedule a packet processing order, as shown above, Dell et al. does not specifically disclose a priority summarizer configured to generate a priority summary of the packets within each of the inputs and packet FIFOs that indicates which of the packet FIFOs is to receive a highest priority packet from one of the inputs. Dell et al. also does not specifically disclose the packet FIFOs occupying the same hierarchical level and configured as subsets of packet FIFOs with each of the FIFOs in each of the subsets being coupled to a different one of the inputs.

With respect to claim 15, Dell et al. discloses a crossbar switch that employs packets having an associated priority (See the abstract and page 8 paragraph 106 for reference to a network switch that employs packets having an associated

priority). Dell et al. also disclose at least two physical interfaces with corresponding inputs and outputs and at least two packet first-in-first-out buffers (FIFOs) receiving packets from inputs (See page 3 paragraphs 48-49, page 8 paragraph 107, and Figures 2 and 10 of Dell et al. for reference to crossbar device 206 having inputs and physical interfaces connecting to ingress line cards 202 and outputs connecting to egress line cards 210, for reference to each of the outputs having a number of corresponding routing FIFO queues, which are packet FIFOs, and for reference to each output also having a corresponding FIFO queue, which is a destination FIFO buffer, interposing the routing FIFOs and the outputs). Dell et al. further discloses scheduling the packets for processing based on the priority if packets in the FIFOs such that packets in a packet FIFO that contain the highest priority are triggered to be processed before packets in other FIFOs (See page 8 paragraph 107 and page 10 paragraphs 145-153 of Dell et al. for reference to an arbiter receiving bids for packets to be output with the bids summarizing packet priority from packets of the FIFOs and for reference to arbitrating between packets based on priority such that packets in a FIFO that contain the highest priority are always processed before packets in other FIFOs). Although Dell et al. does disclose using packet priority to schedule a packet processing order, as shown above, Dell et al. does not specifically disclose a priority summarizer configured to generate a priority summary of all the packets within each of the inputs and packet FIFOs that indicates which of the packet FIFOs is to receive a highest priority packet from one of the inputs. Dell et al. also does not specifically disclose the packet FIFOs occupying a same hierarchical level

and configured as subsets of packet FIFOs with each of the FIFOs in each of the subsets being coupled to a different one of the inputs.

With respect to claims 3, 10, and 17, Dell et al. does not disclose that the summary indicates an order in which to transmit packets contained in the FIFOs to a destination FIFO based upon packet priority.

With respect to claims 5, 12, and 19, Dell et al. does not disclose the summarizer generating a summary of packets within each of the packet FIFOs and within each source FIFO.

With respect to claims 21-23, Dell et al. although Dell et al. does disclose using packet priority to schedule a packet processing order, as shown above, Dell et al. does not specifically disclose a priority summarizer configured to generate a priority summary of all the packets within each of the inputs and packet FIFOs that indicates which of the packet FIFOs either contains or is to receive a highest priority packet from one of the inputs. Dell et al. does disclose scheduling the packets for processing based on the priority of packets in the FIFOs such that packets in a packet FIFO that contain the highest priority are triggered to be processed before packets in other FIFOs (**See page 8 paragraph 107 and page 10 paragraphs 145-153 of Dell et al. for reference to an arbiter receiving bids for packets to be output with the bids summarizing packet priority from packets of the FIFOs and for reference to arbitrating between packets based on priority such that packets in a FIFO that contain the highest priority are always processed before packets in other FIFOs).**

With respect to claims 1, 3, 5, 8, 10, 12, 15, 17, 19, and 21-23, Lo et al., in the field of communications, disclose a priority summarizer configured to generate a priority summary of the packets within the inputs and packet FIFOs indicating which of the packet FIFOs is to receive a highest priority packet from one of the inputs (**See column 9 line 10 to column 10 line 41 and Figure 7 of Lo et al. for reference to circuit 405, which is a priority summarizer, storing lists of pointers to packets that are waiting in FIFOs to be transmitted with the lists of pointers being organized according to packet priority for each FIFO such that the lists are a priority summary of all packets within the FIFOs with the highest priority list being the list containing the packet with the highest priority and for reference to using a different transmit FIFO entry point circuit 410-416 for each different transmission priority level meaning since all packets having the highest priority go to the transmit FIFO entry point circuit corresponding to the highest priority, the pointer used by Lo et al. to sort packets corresponding to the highest priority transmit FIFO entry point circuit inherently also corresponds to the transmit FIFO entry point circuit that is to received a highest priority packet**). Using a priority summarizer priority summarizer configured to generate a priority summary of the packets within the inputs and packet FIFOs indicating which of the packet FIFOs is to receive a highest priority packet from one of the inputs has the advantage of allowing all packets to be fairly serviced while giving transmission priority to some packets over other packets regardless of the order in which the packets were received.

It would have been obvious for one of ordinary skill in the art at the time of the invention, when presented with the work of Lo et al., to combine using a priority summarizer priority summarizer configured to generate a priority summary of the packets within the inputs and packet FIFOs indicating which of the packet FIFOs is to receive a highest priority packet from one of the inputs, as disclosed by Lo et al., with the system and method of Dell et al., with the motivation being to allow all packets to be fairly serviced while giving transmission priority to some packets over other packets regardless of the order in which the packets were received.

With respect to claims 1, 8, and 15, Lee, in the field of communications, discloses packet FIFOs each occupying a same hierarchical level configured as subsets of packet FIFOs with each of the FIFOs in each of the subsets being coupled to a different input (See column 2 line 61 to column 3 line 31 and Figure 2 of Lee for reference to grouping virtual output queues of the same hierarchical level in sets with each output port having a different corresponding arbiter 20 that arbitrates among a set of queues with each queue in the set of queues corresponding to a different input, i.e. arbiter 20A for output port 1 arbitrates among a set of virtual queues consisting of VOQ(1,1), VOQ(2,1), VOQ(3,1), and VOQ(4,1) corresponding to different input ports 1, 2, 3, and 4 respectively). Using packet FIFOs configured as subsets of packet FIFOs with each of the FIFOs in each of the subsets being coupled to a different input has the advantage of preventing head-of-line blocking (See column 3 lines 20-31 for reference to this advantage).

It would have been obvious for one of ordinary skill in the art at the time of the invention, when presented with the work of Krishna et al., to combine using packet FIFOs configured as subsets of packet FIFOs with each of the FIFOs in each of the subsets being coupled to a different input, as suggested by Lee, with the system and method of Dell et al. and Lo et al., with the motivation being to prevent head-of-line blocking.

With respect to claims 4, 11, and 18, Dell et al. discloses that each of the inputs includes a source FIFO (See page 3 paragraph 49 and Figure 2 of Dell et al. for reference to the inputs having queues, which are source FIFOs).

With respect to claims 6, 13, and 20, Dell et al. disclose a destination FIFO and an output with the destination FIFO interposing the packet FIFOs and the output (See page 3 paragraphs 48-49, page 8 paragraph 107, and Figures 2 and 10 of Dell et al. for reference to crossbar device 206 having outputs connecting to egress line cards 210 and for reference to each output having a corresponding FIFO queue, which is a destination FIFO, interposing the routing FIFOs and the outputs). Dell et al. also discloses a scheduler transferring packets from the packet FIFOs toward the destination FIFO for transmission via the output (See pages 8-9, paragraphs 111-120, pages 9-10 paragraphs 128-137, and Figures 12 and 15-16 of Dell et al. for reference to grants being accepted to transmit packets to FIFOs corresponding to outputs such that the packets are then outputted).

With respect to claims 7 and 14, Dell et al. disclose assigning the packet priority based on a priority associated with each of the inputs or a destination (See page

10 paragraphs 145-153 for reference to selecting packets to transfer both from inputs and toward outputs based on priorities of the inputs and outputs).

Response to Arguments

4. Applicant's arguments filed 11/19/07 have been fully considered but they are not persuasive.

In response to Applicant's argument that Dell et al. does not disclose a priority summarizer configured to generate a priority summary that indicates which of the packet FIFOs is to receive a highest priority packet from one of the inputs, this argument is moot, since it is Lo et al. and not Dell et al. that is used to show this limitation in the rejections above.

In response to Applicant's argument that claim 1, as currently worded, the limitation stating, "a scheduler configured to cause packets in said n packet FIFOs to be queued for processing based on said priority summary ... such that packets in a packet FIFO that is to receive said highest priority are triggered to be processed before packets in other of said n packet FIFOs" corresponds to a limitation requiring a lower priority packet to be scheduled before a higher priority packet, the Examiner respectfully disagrees. Although it is true, as argued by the Applicant, that a lower priority packet *may* be scheduled before a higher priority packet under a system as described by claim 1, such a situation is not *required* by the current language of claim 1. Thus, the claim

does not require a lower priority packet to be scheduled before a higher priority packet, as argued by the Applicant.

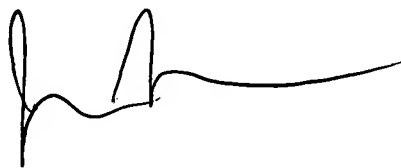
In response to Applicant's argument that Lo et al. does not disclose a priority summarizer configured to generate a priority summary that indicates which of the packet FIFOs is to receive a highest priority packet from one of the inputs, the Examiner respectfully disagrees. Lo et al. discloses using a different transmit FIFO entry point circuit 410-416 for each different transmission priority level (See column 9 lines 31-33 of Lo et al.). Since all packets having the highest priority go to the transmit FIFO entry point circuit having corresponding to the highest priority, the pointer used by Lo et al. to sort packets corresponding to the highest priority transmit FIFO entry point circuit inherently also corresponds to the transmit FIFO entry point circuit that is to receive a highest priority packet. Thus, Lo et al. does disclose this claim limitation. Applicant argues that because the FIFO priorities of Lo et al. are constant, Lo et al. does not disclose a priority summary indicating which of the packet FIFOs is to receive highest priority packet from one of the inputs. The fact that the FIFO priorities of Lo et al. are constant has no bearing on the fact that the pointer corresponding to the FIFO having the highest priority is also a pointer to a FIFO that is to receive a highest priority packet from an input. Thus Lo et al. does disclose the newly added claim limitation.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jason E. Mattis whose telephone number is (571) 272-3154. The examiner can normally be reached on M-F 8AM-5:30PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Huy Vu can be reached on (571) 272-3155. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

A handwritten signature in black ink, appearing to read 'jem', followed by a long horizontal flourish.

Jason E Mattis
Examiner
Art Unit 2616

jem